

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

04/12/2001 CVUSUFI 00000090 501192 09715764

01 FC:202	355.00 CN
02 FC:202	60.00 CN
03 FC:202	140.00 CN

04/12/2001 CVBRACHA 00000019 501192 09715764

01 FC:202 40.00 CN

PTO-1556
(5/87)

Express Mail No. EK381377US

Docket No. 13761-739

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Heinz-Josef Lenz
Sheeja Thankappan Pullarkat
Yi Ping Xiong

Serial No.:

Filing Date:

Title: GENOMIC POLYMORPHISM FOR PREDICTING THERAPEUTIC RESPONSE

UTILITY PATENT APPLICATION & FEE TRANSMITTAL
(for nonprovisional applications under 37 CFR § 1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [0] page(s) of Cover Sheet
[17] page(s) of Specification
[4] page(s) of Claims (34 claims)
[1] page(s) of Abstract
[1] sheets of Drawings [] Formal [X] Informal
- ☐ Declaration and Power of Attorney [] page(s)
[] Unexecuted [] Executed [] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Nucleotide and/or Amino Acid Sequence Submission:
[] page(s) of Sequence Listing (paper copy)
[] disk(s) containing Sequence Listing (computer readable copy)
[] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix _____

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of Prior Application No. _____ filed _____. (35 USC §120)
- ☒ This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial
No. 60/165,574 filed November 15, 1999. (Provisional Application)
- ☒ The entire disclosure of the prior application referenced above, is considered as being part of the application
filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC §119 of Prior Application No. _____
filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [X] Verified Small Entity Statement was previously filed in Prior Application No. 60/165,574 on November 15, 1999.
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:					CALCULATIONS
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
BASIC NATIONAL FILING FEE		\$ 710.00	\$ 355.00	\$355.00	
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	34 - 20 =	14	× \$ 18.00	× \$ 9.00	
Independent claims	5 - 3 =	2	× \$ 80.00	× \$ 40.00	
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$270.00	\$135.00	\$	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00				\$	
OTHER FEES _____ (specify)				\$	
OTHER FEES _____ (specify)				\$	
TOTAL FEES =				\$561.00	

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1192, Docket No. 13761-739, in the amount of \$561.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13761-739. *A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

Rajiv Yadav
 McCutchen, Doyle, Brown & Enersen, LLP
 Three Embarcadero Center, 28th Floor
 San Francisco, CA 94111
 Telephone: (415) 393-2000
 Facsimile: (415) 393-2286

SIGNATURE

NAME

November 15, 2000

DATE

43,999

REGISTRATION NUMBER